



## Primary Disclosure Statement

### Authorised Financial Adviser

Name and registration number of  
Authorised Financial Adviser:

**Alan John Schofield**

**Registration number FSP 206967**

Address: 1<sup>st</sup> Floor, Corner Commerce St  
& The Strand, Whakatane  
Trading name: Private Asset Management Ltd  
Telephone number: 07 3070430  
Fax number: 07 3070918  
Email address: [Alan@cpam.co.nz](mailto:Alan@cpam.co.nz)

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#### It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

#### What sort of adviser am I?

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers) to provide the financial adviser services described below.

#### How can I help you?

I have been authorised to provide you with financial adviser services of the following categories:

- Provide financial advice
- Provide investment planning services.

When I do this, I will be able to give you advice/provide a service about—

- financial products provided by only 1 organisation:
- financial products provided by a small number of organisations (2 to 5 organisations):
- financial products provided by a broad range of organisations (more than 5 organisations).

Our firm Private Asset Management Ltd can recommend to you any product legally available to NZ investors we choose on the basis that it would be the best product for you.

#### How do I get paid for the services that I provide to you?

##### Payment type

Fees only

Fees

Commissions

Extra payments from my employer

Non-financial benefits from other organisations

##### Description

My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice.

My services are paid for by the fees that you pay as well as in other ways.

There are situations in which [I/my employer/my employer and I/my principal/my principal and I] will be paid by other organisations. How much that payment will be depends on the decisions that you make.

I may receive extra payments from my [employer/principal] depending upon the decisions that you make.

Other organisations may give [me/my employer/my employer and me/my principal/my principal and me] non-financial benefits depending on the decisions that you make.

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice or provide a service or, if that is not practicable, as soon as practicable after I give you that advice or provide that service.

If you use the Craigs Investment Partners Cash Management Craigs pay Private Asset Management a rebate that is donated to various charities and not rebated to clients because individual clients are not identifiable.

### **What are my obligations?**

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the [Financial Advisers Act 2008](#) (including regulations made under that Act) and under the general law.

### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me or our Office Manager, Cathy Olifiers, so that our internal complaints scheme can try to fix the problem.

You may contact the internal complaints scheme by contacting Cathy Olifiers, PO Box 244, Whakatane or phone 07 3070430.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Dispute Resolution. This service will cost you nothing, and will help us resolve any disagreements. You can contact Financial Dispute Resolution at—

Address: P O Box 5730,  
Wellington 6145  
Telephone number: 0508 337 337  
Email address: [enquiries@fdr.org.nz](mailto:enquiries@fdr.org.nz)

### **If you need to know more, where can you get more information?**

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me.

If you have a question about financial advisers generally, you can contact the Financial Markets Authority.

### **How am I regulated by the Government?**

You can check that I am a registered financial services provider and an Authorised Financial Adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority (FMA) authorises and regulates financial advisers. Contact the FMA for more information, including financial tips and warnings.

You can report information or complain about my conduct to the FMA, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

### **Declaration**

I, Alan John Schofield, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure

requirements in the [Financial Advisers Act 2008](#) and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

